



MARKET NOTICE

Market Notice: 2020_09

Date: 20 November 2020

Subject: GN1 - Conduct Training Practice Guide

AFMA has produced a [Conduct Training Practice Guide](#) to assist member firms to design and implement effective education and training programs for their employees in relation to matters of individual and company conduct in the financial markets. The Guide sets out the principles that underpin an effective training program for employees in relation to conduct.

Professionalism is important to building successful businesses based on trust and confidence in the fairness and efficiency of financial markets. In this context, member firms are encouraged to consider the Guide.

Prior to finalisation a draft of the Practice Guide was circulated to member firms for comment, with member feedback incorporated.

Any queries in relation to the Practice Guide should be directed to [Natalie Thompson](#).

About AFMA

The Australian Financial Markets Association (AFMA) is the leading industry association promoting efficiency, integrity and professionalism in Australia's financial markets and provides leadership in advancing the interests of all market participants. These markets are an integral feature of the economy and perform the vital function of facilitating the efficient use of capital and management of risk. Market participants perform a range of important roles within these markets, including financial intermediation and market making. AFMA represents over 120 members, including Australian and international banks, leading brokers, securities companies, state government treasury corporations, fund managers, traders in electricity and other specialised markets and industry service providers.