



MARKET NOTICE

Market Notice: 2021_06

Date: 15 September 2021

Subject: AFMA Consequence Management Standard

AFMA has issued a [Consequence Management Standard](#) setting out Principles to guide member firms in the design and implementation of a consequence management policy for their employees. The standard may be found within the Guidelines section of the AFMA website main page.

Our member firms are expected to reflect the Principles in the consequence management policy they apply to AFMA-accredited employees in relation to Code of Conduct matters.

Any questions should be directed to [Damian Jeffree](#) Senior Director of Policy