

# FINANCIAL MARKETS CORE

Edition 9.0: Distance

**The Financial Markets Core is the first module in AFMA's Financial Markets Program.**

**The module has been designed to provide individuals working in the Australian OTC and exchange-traded markets with a broad overview of the environment in which they work. It introduces financial market products and participants, the economic environment, risk management and Australian financial services regulation.**

## LEARNING OUTCOMES

Once you have successfully completed the Financial Markets Core module, you will be equipped to:

- ✓ demonstrate a broad understanding of how financial markets operate with substantial depth in some areas
- ✓ analyse the impact of key economic data on financial markets and products
- ✓ apply relevant codes of conduct, including the AFMA Code of Conduct, in the workplace
- ✓ identify and assess key financial markets risks using an accepted risk assessment methodology
- ✓ apply your knowledge of regulations, conventions and market practice to your work in financial markets.

## ASSESSMENT TASKS

Tasks	Due
Assignment	Week 12
Portfolio of evidence	Week 16
Skills assessment (case study)	Week 16

### Assignment

The assignment is based on a series of short answer questions covering market structure, economic drivers, financial products, the regulatory framework and the role of risk.

### Portfolio of evidence

The portfolio of evidence assesses your ability to employ communication and teamwork in the workplace. Note that you will be required to record and submit two short videos as part of your portfolio.

### Skills assessment

The skills assessment for this module consists of a series of scenario-based questions designed to test your ability to apply the course content to your workplace. To complete the questions, you must draw on both the knowledge that you have gained from studying this module and the knowledge you have gained through your experience of working in financial markets.

## LEARNING ACTIVITIES

### Lessons and quizzes

To complete the module you must work through all of the online lessons, which you can find on AFMA Moodle. As you progress through each lesson you will be able to assess whether you have understood the content by completing the online quizzes. You must answer all questions correctly. The quizzes are marked automatically, providing you with immediate feedback. Note that you can re-sit the quizzes as many times as needed.

You must complete each lesson and pass the quizzes before you may attempt the following lesson.

### Discussion forum activities

At the end of each lesson there are discussion questions which you must answer in order to progress to the next lesson. Discussion forum participation is mandatory in this module.

We also encourage you to use the forums to ask questions, post your thoughts on each lesson and engage fully in the cohort community. The more you get involved in everything the module has to offer, the more you'll get out of it.

## STUDY SCHEDULE

Follow the study schedule below to complete this module.

A learning plan listing important dates, including assessment deadlines, can be found on AFMA Moodle.

### Advising and Dealing stream

Week	Tasks
Week 1	Download assessments and start Complete Lesson 1
Week 2	Complete Lesson 2
Week 3	Complete Lesson 3
Week 4	Complete Lesson 4
Week 5	Complete Lesson 5
Week 6	Complete Lesson 7
Week 7	Complete Lesson 8
Week 8	Complete Lesson 9
Week 12	<b>Assignment due</b>
Week 16	<b>Portfolio of evidence due</b> <b>Skills assessment due</b>

### Operations stream

Week	Tasks
Week 1	Download assessments and start Complete Lesson 1
Week 2	Complete Lesson 2
Week 3	Complete Lesson 3
Week 4	Complete Lesson 4
Week 5	Complete Lesson 6
Week 6	Complete Lesson 7
Week 7	Complete Lesson 8
Week 8	Complete Lesson 9
Week 12	<b>Assignment due</b>
Week 16	<b>Portfolio of evidence due</b> <b>Skills assessment due</b>

## WHAT WILL YOU ACHIEVE FROM COMPLETING THIS MODULE?

If you successfully complete the stream you have enrolled in for this module, you will receive a Statement of Attainment for the following competency units from the Diploma of Financial Markets FNS51015:

### Advising stream

- FNSFMK501 Analyse financial markets and information
- BSBCOM406 Conduct work within a compliance framework
- FNSRSK502 Assess risks
- FNSINC401 Apply principles of professional practice to work in the financial services industry

### Dealing stream

- FNSFMK501 Analyse financial markets and information
- BSBCOM406 Conduct work within a compliance framework
- FNSINC401 Apply principles of professional practice to work in the financial services industry
- FNSRSK502 Assess risks

### Operations stream

- FNSFMK501 Analyse financial markets and information
- BSBCOM406 Conduct work within a compliance framework
- FNSINC401 Apply principles of professional practice to work in the financial services industry
- FNSRSK502 Assess risks
- FNSFMK504 Complete settlement and confirmation processes

## OTHER INFORMATION

### Student support

If you have any concerns or questions as you work through the module, or need additional guidance as to what you should be doing, email [education@afma.com.au](mailto:education@afma.com.au).

If your question relates to the learning material then you should post to the Support Forum on the module page in the AFMA Moodle.

### Duration

AFMA provides a maximum of 18 months to complete the full FNS51015 Diploma of Financial Markets (from date of initial registration) or 9 months if you intend on completing one module only (from date of initial registration).

It is important to note that AFMA has structured each module so that it should be completed in a time frame of 4 months.

### Grading of assessments

AFMA grades student work as either 'competent' or 'not yet competent'. Grades that indicate level of achievement, e.g. high distinction or distinction, are not awarded.

### Extensions for assessments

If you require an extension, you must submit your request **before** the submission date to [education@afma.com.au](mailto:education@afma.com.au).

Extensions are granted only on the basis of sickness, major family crisis, or unexpected emergency travel connected with employment (employer's confirmation is required).

Any extensions granted are for a fixed period of two weeks and your results may be delayed.

### Submitting your skills assessment

You must submit your completed skills assessment for marking through AFMA Moodle. When you upload the skills assessment, it will be checked by Turnitin, a plagiarism detection software.

### Academic honesty

Personal integrity is a core ethical principle in AFMA's Code of Ethics. Consequently, academic integrity is an important principle to which all students enrolled in the Financial Markets Program are expected to adhere. For example, any academic work that you submit for assessment must be your own work and when you do include ideas and work from other people, this must be acknowledged.

